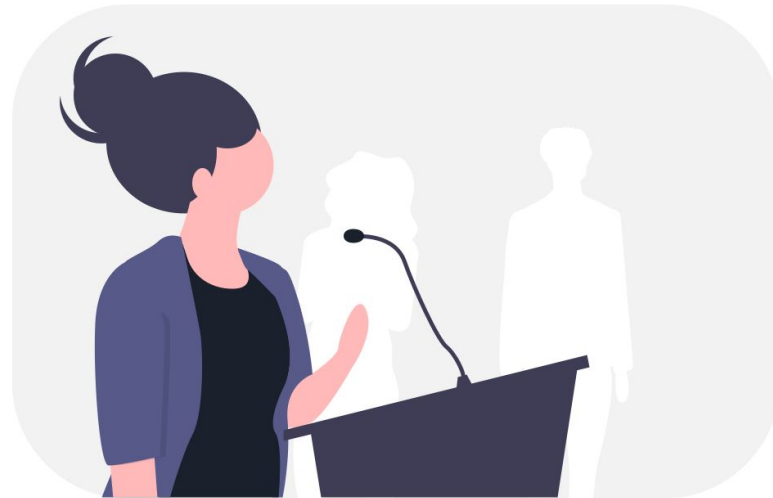




Unlocking 40% Faster Compliance: Revolutionizing Regulatory Oversight for Capital Markets



CompliStream Solution



Customer

Saudi Govt.
Regulatory body



Business Domain

Governance



Solution

CompliStream Solution



Tech stack

Appian BPM, Maria DB

Business Challenge/Need

The authority faced issues such as:

- **Inefficient Monitoring:** Manual oversight methods led to delayed and inconsistent compliance reporting.
- **Fragmented Systems:** Lack of integration across departments hindered effective governance.
- **Regulatory Risks:** Limited transparency increased the risk of non-compliance.
- **Slow Decision-Making:** Inconsistent data access slowed down timely decision-making and action.
- **High Operational Costs:** Manual processes and outdated systems increased operational expenses.
- **Lack of Data Visibility:** Disjointed data sources made it difficult to gain a clear view of compliance status and risks.
- **Resource Strain:** Manual oversight and scattered workflows led to resource constraints, reducing overall efficiency.
- **Inability to Scale:** Existing systems couldn't scale to manage growing governance and regulatory demands.

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Solution

Appbay's Governance Management System provided:

- **Automated Monitoring Tools:** Real-time tracking of compliance and regulatory activities.
- **Integrated Data Dashboards:** Consolidated insights for informed decision-making.
- **Dynamic Compliance Workflows:** Ensured adherence to evolving regulations.
- **Enhanced Collaboration:** Improved communication between departments with centralized platforms.
- **Scalable Architecture:** The solution easily scaled to accommodate growing regulatory demands without increasing complexity.
- **Proactive Risk Alerts:** Real-time alerts for potential compliance breaches, allowing for early intervention.
- **Comprehensive Reporting:** Automated generation of compliance reports, ensuring audit readiness and reducing manual effort.

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Why Customer Choose the solution?

The Capital Market Authority selected Appbay's Governance Management System for its ability to automate compliance tasks, enhance monitoring, and streamline collaboration across departments. The solution offered real-time tracking, dynamic compliance tools, and a unified platform to address inefficiencies, regulatory risks, and fragmented systems.

- **Automated Compliance Monitoring:** Real-time tracking of regulatory activities for faster decision-making.
- **Seamless Data Integration:** Centralized platform for improved collaboration and data visibility.
- **Dynamic Compliance Workflows:** Ensured compliance with evolving regulations.
- **Proactive Risk Management:** Tools to mitigate regulatory risks before they escalate.
- **Scalability:** Flexible platform to support growing governance and regulatory demands.

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Business Impact & ROI

Appbay's Governance Management System significantly transformed the Capital Market Authority's regulatory processes, delivering measurable improvements in efficiency, compliance, and risk management.

- **Compliance Reporting Improved by 60%:** Achieved faster and more accurate reporting.
- **Operational Efficiency Gains:** Reduced manual tasks by 40%, saving costs.
- **Regulatory Excellence:** Proactively mitigated risks with dynamic compliance tools.
- **Improved Accountability:** Increased transparency across all governance activities.
- **Faster Decision-Making:** Real-time data insights enabled quicker and more informed regulatory decisions.
- **Reduced Operational Costs:** Automation and integration led to cost savings by eliminating manual processes.
- **Enhanced Stakeholder Trust:** Improved transparency and accountability fostered stronger relationships with stakeholders.